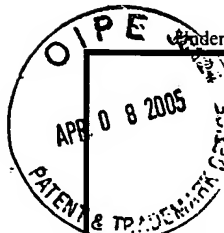


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04.11.05

PTO/SB/21 (6-99)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	10/015,480
		<b>Filing Date</b>	December 11, 2001
		<b>First Named Inventor</b>	Daniel L. Eaton, et al.
		<b>Group/Art Unit</b>	1645
		<b>Examiner Name</b>	Gary B. Nickol
<b>Total Number of Pages in This Submission</b>	13 pages	<b>Attorney Docket Number</b>	39780-2830P1C50

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> <b>Request for Corrected Filing Receipt</b>  <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b> <b>Copy of Amendment under 37 C.F.R. §1.48(b) and 37 C.F.R. §1.312 as filed; Copy of the Preliminary Amendment as filed; Copy of the Updated Filing Receipt received; and a Return Receipt Postcard</b>
<b>Remarks</b>		<b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 (ATTORNEY DOCKET NO. 39780-2830P1C50) FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.</b>

**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

<b>Firm or Individual name</b>	Anna L. Barry, Reg. No. 51,436, HELLER EHRMAN WHITE & McAULIFFE LLP		
<b>Signature</b>			
<b>Date</b>	April 8, 2005	<b>Customer Number:</b>	35489/09157

**CERTIFICATE OF MAILING (37CFR 1.10)**

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited on the date shown below, with the United States Postal Service as "Express Mail Post Office to Addressee" service (Express Mail No. EV 582 629 139 US) addressed to Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450			
<b>Typed or print name</b>	Sandra Puljic		
<b>Signature</b>		<b>Date</b>	April 8, 2005

Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Daniel L. Eaton, et al. Docket No.: 39780-2830P1C50  
Serial No.: 10/015,480 Group Art Unit: 1645  
Filing Date: December 11, 2001 Examiner: Gary B. Nickol  
For: **Secreted and Transmembrane Polypeptides and Nucleic Acids  
Encoding the Same**

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, PCT Application PCT/US99/28313 filed 11/30/1999, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/100,711 filed 9/17/1998.--

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: EV 582 629 139 US			
Typed or printed name	Sandra Puljic		
Signature	<i>Sandra Puljic</i>	Date	April 8, 2005

Also enclosed is Applicants copy of the previously filed Amendment under 37 C.F.R. §1.48(b) which correctly names the inventors in this case. Applicants note that the inventors listed under Applicant(s) **should** read:

Daniel L. Eaton  
Audrey Goddard  
Paul J. Godowski  
Austin Gurney  
P. Mickey Williams  
William I. Wood

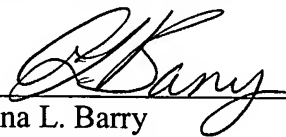
In support, Applicants enclose a copy of the previously filed Amendment under 37 C.F.R. §1.48(b).

Issuance of a Corrected Filing Receipt is respectfully requested.

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. **08-1641 (Atty. Docket No. 39780-2830P1C50)**.

Respectfully submitted,

Dated: April 8, 2005

  
\_\_\_\_\_  
Anna L. Barry  
Reg. No. 51,436

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
Customer No. 09157/35489  
275 Middlefield Road  
Menlo Park, CA 94025  
Tel: (650) 324-7000  
Fax: (650) 324-0638



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kevin P. BAKER, et.al.

Application Serial No. 10/015,480

Filed: December 11, 2001

For: **SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME**

) Examiner: Nickol, Gary B.

) Art Unit: 1642

) Confirmation No: 9680

) Attorney's Docket No. 39780-2830 P1C50

) Customer No. 35489

**EXPRESS MAIL LABEL NO. EL 977 609 044 US**

**DATE MAILED: OCTOBER 20, 2004**

**AMENDMENT UNDER 37 C.F.R. §1.48(b)**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Dear Sir:

In the above identified application, an Office Action was mailed on April 21, 2004.

Having reviewed the application, Applicants have found that as a result of claim amendments made during prosecution, fewer than all of the currently named inventors are the actual inventors of the invention being claimed in the present application. Accordingly, please delete the names of the following inventors, who have not made an inventive contribution to the currently claimed subject matter:

<b>Kevin P. Baker</b>	<b>David Botstein</b>
<b>Luc Desnoyers</b>	<b>Napoleone Ferrara</b>
<b>Sherman Fong</b>	<b>Wei-Qiang Gao</b>
<b>J. Christopher Grimaldi</b>	<b>Kenneth J. Hillan</b>
<b>James Pan</b>	<b>Nicholas F. Paoni</b>
<b>Margaret Ann Roy</b>	<b>Victoria Smith</b>
<b>Timothy A. Stewart</b>	<b>Daniel Tumas</b>
<b>Colin K. Watanabe</b>	

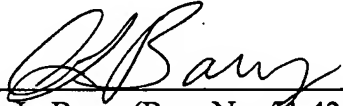
Upon entering the present amendment, Dan L. Eaton, Audrey Goddard, Paul J. Godowski, Austin Gurney, P. Mickey Williams and William I. Wood remain named inventors in this case.

Although no fees are believed to be due at this time, please charge any fees that might become applicable, including any fees for extension of time, or credit overpayment to Deposit Account No. 08-1641, referencing Attorney's Docket No. 39780-2830 P1C50. Please direct any calls in connection with this application to the undersigned at the number provided below.

Respectfully submitted,

Date: October 20, 2004

By:

  
Anna L. Barry (Reg. No. 51,436)

**HELLER EHRMAN WHITE & McAULIFFE LLP**

275 Middlefield Road  
Menlo Park, California 94025  
Telephone: (650) 324-7000  
Facsimile: (650) 324-0638

SV 2071793 v1  
10/20/04 10:49 AM (39780.2830)



Patent Docket P2830P1C50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Kevin P. Baker et al.  Serial No.: 10/015480  Filed: 12/11/2001	Group Art Unit: Not yet assigned  Examiner: Not yet assigned  <b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  August 29, 2002  <i>Patricia Terrill</i> Patricia Terrill
--	---

**PRELIMINARY AMENDMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Prior to substantive examination of the above captioned patent application, Applicants respectfully request that the following amendments be entered.

**In the specification:**

Please delete the paragraph at page 1, line 2, that was previously added by preliminary amendment.

Please insert the following new paragraph at page 1, line 2:

**--RELATED APPLICATIONS**

This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, PCT Application PCT/US99/28313 filed 11/30/1999, which is a continuation-in-

**Patent Docket:** P2830P1C50

**Serial No.:** 10/015480

**Filed:** 12/11/2001

part of, and claims priority under 35 USC §120 to, US Application 09/403297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/100711 filed 9/17/1998.--

Patent Docket: P2830P1C50

Serial No.: 10/015480

Filed: 12/11/2001

REMARKS

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph. The new paragraph lists the documents to which priority is claimed for the instant application. Applicants respectfully request entry of this paragraph for prosecution in this application. The Examiner is invited to contact the undersigned at (650) 225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

Date: August 29, 2002

By:



Elizabeth M. Barnes, Ph. D.

Reg. No. 35,059

Telephone: (650) 225-4563



09157

PATENT TRADEMARK OFFICE

**Patent Docket:** P2830P1CSJ

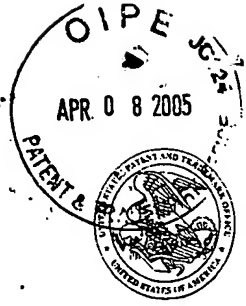
**Serial No.:** 10/015480

**Filed:** 12/11/2001

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**In the specification:**

The paragraph at page 1, line 2 that had been added by a previous preliminary amendment has been deleted. A new paragraph beginning at page 1, line 2 has been added to replace the deleted paragraph.



GNE. 2830P1C50 K  
GRD. DKJ

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/015,480	12/11/2001	1645	740	GNE.2830P1C50	249	20	3

CONFIRMATION NO. 9680

30313  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

UPDATED FILING RECEIPT



\*OC000000008517183\*

Date Mailed: 07/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kevin P. Baker, Darnestown, MD;  
David Botstein, Belmont, CA;  
~~Lue Desnoyers, San Francisco, CA;~~  
Dan L. Eaton, San Rafael, CA;  
~~Napoleone Ferrara, San Francisco, CA;~~  
~~Sherman Fong, Alameda, CA;~~  
~~Wei Qiang Gao, Palo Alto, CA;~~  
Audrey Goddard, San Francisco, CA;  
Paul J. Godowski, Hillsborough, CA;  
~~J. Christopher Grimaldi, San Francisco, CA;~~  
Austin L. Gurney, Belmont, CA;  
~~Kenneth J. Hillan, San Francisco, CA;~~  
~~James Pan, Belmont, CA;~~  
~~Nicholas F. Paoni, Belmont, CA;~~  
~~Margaret Ann Roy, San Francisco, CA;~~  
~~Victoria Smith, Burlingame, CA;~~  
~~Timothy A. Stewart, San Francisco, CA;~~  
~~Daniel Tumas, Orinda, CA;~~  
~~Golin K. Watanabe, Moraga, CA;~~  
P. Mickey Williams, Half Moon Bay, CA;  
William I. Wood, Hillsborough, CA;

Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001  
AND CLAIMS BENEFIT OF 60/098,716 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,723 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,749 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,750 09/01/1998  
AND CLAIMS BENEFIT OF 60/098,803 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,821 09/02/1998  
AND CLAIMS BENEFIT OF 60/098,843 09/02/1998  
AND CLAIMS BENEFIT OF 60/099,536 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,596 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,598 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,602 09/09/1998  
AND CLAIMS BENEFIT OF 60/099,642 09/09/1998  
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AND CLAIMS BENEFIT OF 60/099,816 09/10/1998  
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AND CLAIMS BENEFIT OF 60/101,738 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,741 09/24/1998  
THIS APPLICATION 10/015,480  
CLAIMS BENEFIT OF 60/101,743 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,915 09/24/1998  
AND CLAIMS BENEFIT OF 60/101,916 09/24/1998  
AND CLAIMS BENEFIT OF 60/102,207 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,240 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,307 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,330 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,331 09/29/1998  
AND CLAIMS BENEFIT OF 60/102,484 09/30/1998

*See Request for Corrected F/R  
and Preliminary Amendment  
as Filed (copy enclosed)*

AND CLAIMS BENEFIT OF 60/102,487 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,570 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,571 09/30/1998  
AND CLAIMS BENEFIT OF 60/102,684 10/01/1998  
AND CLAIMS BENEFIT OF 60/102,687 10/01/1998  
AND CLAIMS BENEFIT OF 60/102,965 10/02/1998  
AND CLAIMS BENEFIT OF 60/103,258 10/06/1998  
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AND CLAIMS BENEFIT OF 60/108,500 10/29/1998  
AND CLAIMS BENEFIT OF 60/106,464 10/30/1998  
THIS APPLICATION 10/015,480  
CLAIMS BENEFIT OF 60/106,856 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,902 11/03/1998  
AND CLAIMS BENEFIT OF 60/106,905 11/03/1998  
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AND CLAIMS BENEFIT OF 60/107,783 11/10/1998  
AND CLAIMS BENEFIT OF 60/108,775 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,779 11/17/1998  
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AND CLAIMS BENEFIT OF 60/108,849 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,850 11/18/1998

AND CLAIMS BENEFIT OF 60/108,851 11/18/1998  
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AND CLAIMS BENEFIT OF 60/108,867 11/17/1998  
AND CLAIMS BENEFIT OF 60/108,904 11/18/1998  
AND CLAIMS BENEFIT OF 60/108,925 11/17/1998  
AND CLAIMS BENEFIT OF 60/113,296 12/22/1998  
AND CLAIMS BENEFIT OF 60/114,223 12/30/1998  
AND CLAIMS BENEFIT OF 60/129,674 04/16/1999  
AND CLAIMS BENEFIT OF 60/141,937 06/23/1999  
AND CLAIMS BENEFIT OF 60/144,758 07/20/1999  
AND CLAIMS BENEFIT OF 60/145,698 07/26/1999  
AND CLAIMS BENEFIT OF 60/162,506 10/29/1999

**Foreign Applications**

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
PCT/US99/30095 12/16/1999  
PCT/US00/00219 01/05/2000  
PCT/US00/00376 01/06/2000  
PCT/US00/03565 02/11/2000  
PCT/US00/04342 02/18/2000  
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PCT/US00/30952 11/08/2000  
PCT/US00/32678 12/01/2000  
PCT/US01/06520 02/28/2001  
PCT/US01/06666 03/01/2001  
PCT/US01/17800 06/01/2001  
PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted 03/20/2002**

**Projected Publication Date: 10/31/2002**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

Preliminary Class  
435

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Title 35, United States Code, Section 184  
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